



TO: Audit & Governance Committee

FROM: Head of Audit & Assurance

DATE: 20 September 2016

PORTFOLIOS AFFECTED: All

WARDS AFFECTED: All

TITLE OF REPORT: Risk Management – 2016/17 Quarter 1 Review

1. PURPOSE

To provide Members with details of the risk management activity that has taken place in the period from 1 April 2016 to 30 June 2016.

2. RECOMMENDATIONS

The Committee is asked to:

- Discuss, review and challenge the progress made on the Corporate Risk Register as at the end of Quarter 1 2016/17; and
- Note the risk management activity that has occurred during the period.

3. BACKGROUND

The Council recognises that risk management is not simply a compliance issue, but rather it is a process to help ensure the successful delivery of the corporate objectives. Effective risk management arrangements should be inherent in the Council's culture and decision making processes as well as the operational and financial management arrangements operating within the Council. Risk management helps to demonstrate openness, integrity and accountability in all of the Council's activities.

4. RATIONALE

The Audit & Governance Committee terms of reference require it to review progress on risk management at least annually and to promote risk management throughout the Council. The Corporate Risk Management Strategy & Framework requires that the Audit & Governance Committee will receive regular reports setting out progress against corporate risk management action plans. This report meets both of these requirements.

5. KEY ISSUES AND RISKS

The Corporate Risk Register currently contains a total of 17 risks, the same as previous quarter as reported to this Committee on 14 June. A summary of the risks is attached at Appendix 1 of this report. The corporate risk summary now includes inherent and target risk scores, as part of the implementation of the revised Corporate Risk Management Strategy and Framework 2015/2020. The one outstanding score will be populated during quarter 2. The report now also

shows changes in the residual risk scores between quarters to enable changes to be tracked. There has been no significant change to the residual risk scores from the previous quarter.

The top corporate risks remain the same as the previous quarter, namely:

Risk No.	Risk Description	Risk Owner
1	Inability to deliver a balanced budget for 2016/17.	Louise Mattinson (S151 Officer)
14	High profile serious/critical safeguarding incident/case that is known to Council services.	Steve Tingle (DASS)/Linda Clegg (DCS)

As part of the Council's Risk Management process we review and monitor the Corporate Risks on a regular basis to ensure that we have appropriate, properly assessed corporate risks identified going forward. The Corporate Risk and Resilience Forum (CRRF) carries out this role and the results are reported to Management Board. This includes a challenge of the sources, implications and mitigations of specific risks on a rolling basis.

We continue to use the risk management support available from Zurich Municipal as part of the current long term insurance agreement. An Information Governance Health Check was undertaken by Zurich Municipal during August to assess the Council's information risk management practices against seven enabling categories. Their assessment report has not been received by this Committee's agenda deadline. Plans are also being made to use the support available to assist the Civil Contingencies Team to run a half day workshop later in the year to review the Community Risk Register using the Local Resilience Forum risk templates and localising the risks relevant to Blackburn with Darwen.

The Road Risk Management Group continues to meet quarterly to consider the risk management arrangements in place for the Council's motor fleet and drivers and staff use of private vehicles for Council business. The Group also reviews management reports to monitor trends in fleet damage and insurance claims to identify training needs. At the latest Group meeting in July it was identified that most Council fleet drivers had been issued with the revised Drivers Handbook and the first drivers' newsletter had been published.

6. POLICY IMPLICATIONS

There are no policy implications arising from this report.

7. FINANCIAL IMPLICATIONS

There are no financial implications arising from this report.

8. LEGAL IMPLICATIONS

There are no legal implications arising from this report.

9. RESOURCE IMPLICATIONS

There are no direct resource implications arising from this report.

10. EQUALITY AND HEALTH IMPLICATION

There are no equality implications arising from this report.

11. CONSULTATIONS

The Corporate Risk Register has been reviewed and updated by the Corporate Risk & Resilience Forum and agreed by Management Board.

Contact Officer:	Paul Hankinson, Audit & Assurance Manager – Ext: 5630
Date:	9 September 2016
Background Papers:	Annual Risk Management Report (including 2015/16 Quarter 4 Review) Corporate Risk Management Strategy 2015/2020